
Automorphic representations in string amplitudes

Axel Kleinschmidt (MPI for Gravitational Physics, Potsdam)



Arithmetic Quantum Field Theory
CMSA, Harvard, 27 March 2024

Based on (partly ongoing) work with [G. Bossard](#), [P. Fleig](#),
[S. Friedberg](#), [D. Gourevitch](#), [H. Gustafsson](#), [D. Persson](#),
[B. Pioline](#) and [S. Sahi](#)

Summary

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Automorphic representations occur naturally in string scattering amplitudes

Fourier coefficients contain physical information (non-perturbative)

String theory suggests new types of automorphic representations

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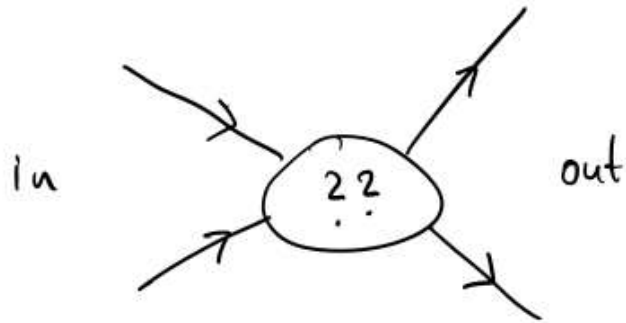
Many contributors over the last 20+ years:

A. Basu, E. D'Hoker, M. Green, M. Gutperle, S.D. Miller,

N. Obers, J. Russo, S. Sethi, P. Vanhove, ...

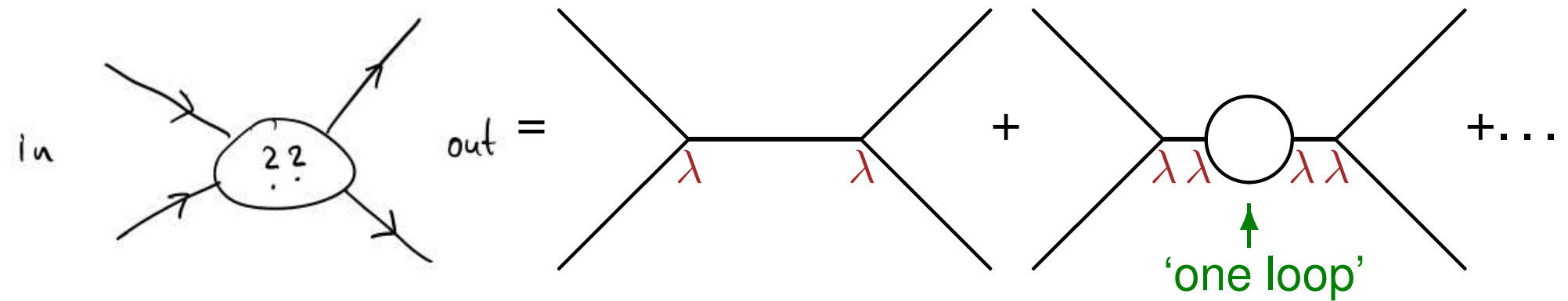
Scattering amplitudes

- determine probabilities for the outcomes of scattering experiments
- determined from fundamental interactions of a theory



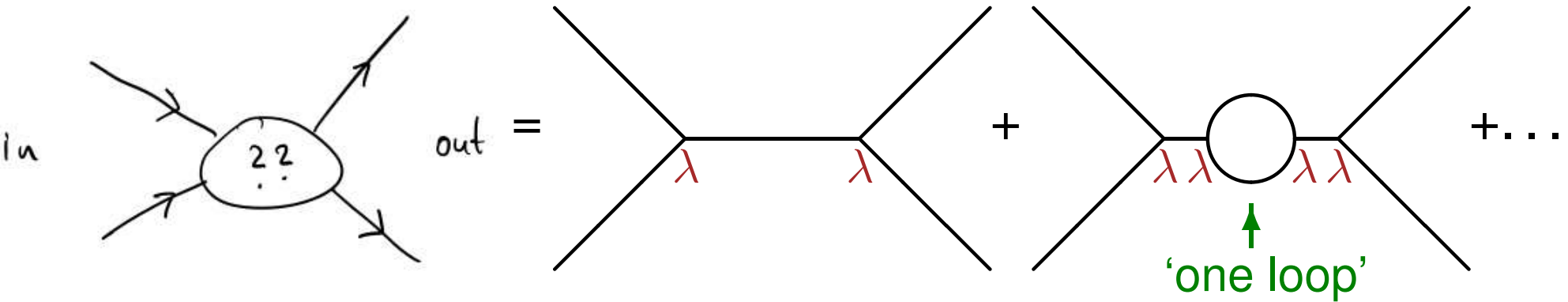
Scattering amplitudes

- determine probabilities for the outcomes of scattering experiments
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- (Perturbative) textbook procedure with **Feynman diagrams**



λ denotes a 'small' coupling constant and each diagram corresponds to a specific (potentially high-dim'l) integral, typically divergent \Rightarrow renormalization, regularization

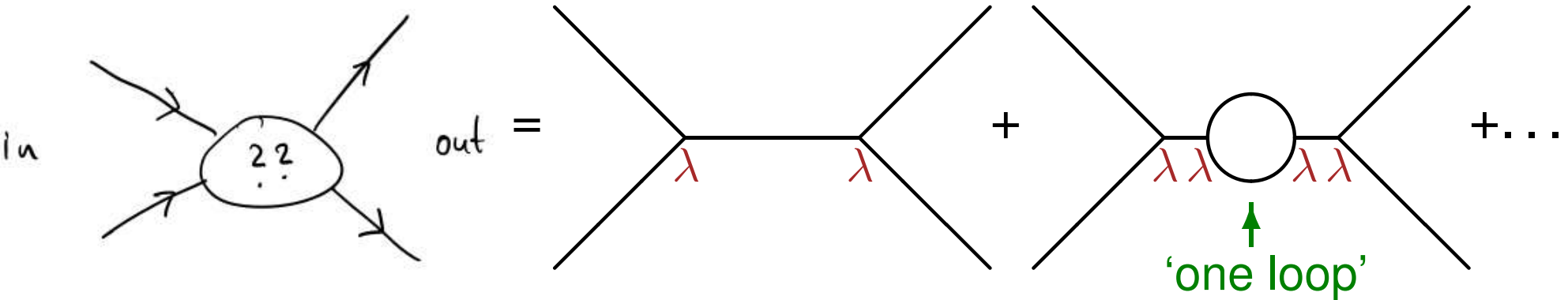
Scattering amplitude



Overall picture

$$\mathcal{A}(\{k_i, \epsilon_i\}; \{\lambda, \dots\})$$

Scattering amplitude



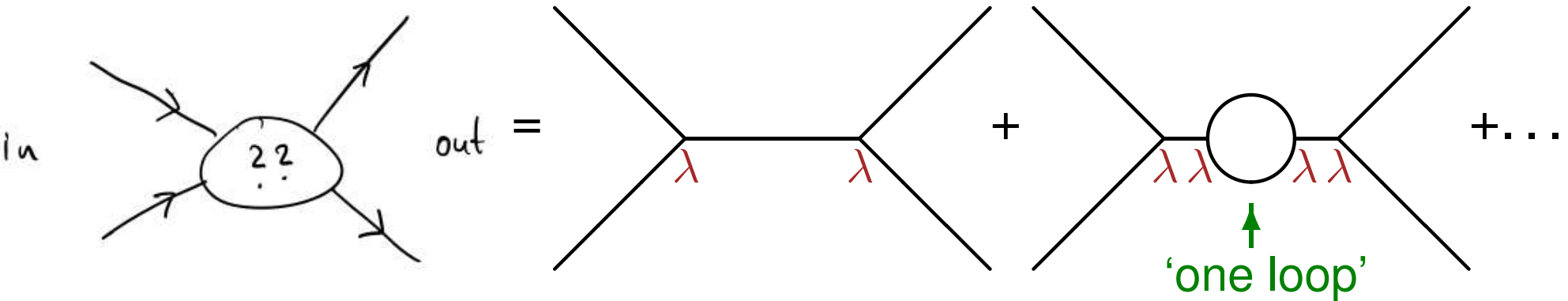
Overall picture

'theory data': coupling constants,

$$A(\underbrace{\{k_i, \epsilon_i\}}_{\text{kinematic data}}; \underbrace{\{\lambda, \dots\}}_{\text{theory data}})$$

'kinematic data' of scattering particles: momenta, polarizations, ...

Scattering amplitude



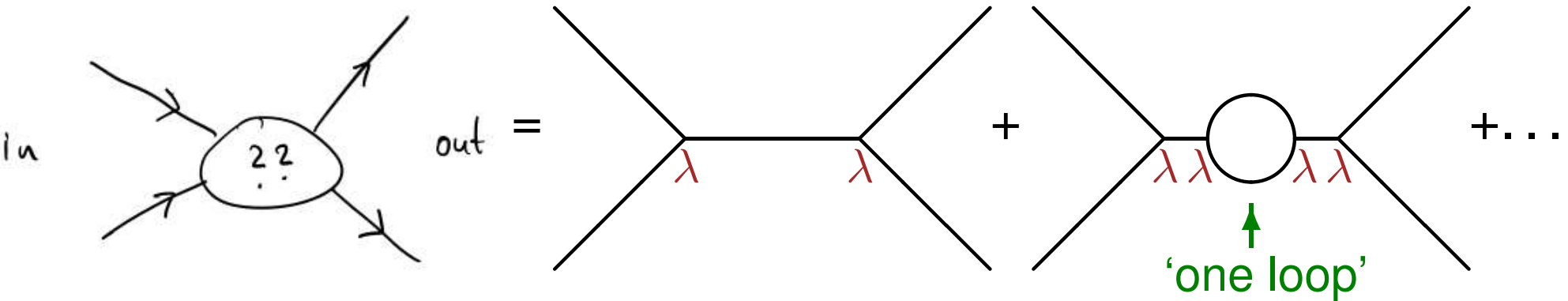
Overall picture

$$\mathcal{A}(\{k_i, \epsilon_i\}; \{\lambda, \dots\}) = \mathcal{A}^{\text{pert.}}(\{k_i, \epsilon_i\}; \{\lambda, \dots\}) + \mathcal{A}^{\text{non-pert.}}(\{k_i, \epsilon_i\}; \{\lambda, \dots\})$$

Above diagrams correspond to **perturbative expansion** of the amplitude, i.e. analytic in coupling λ .

Typically an asymptotic series and also **non-perturbative** terms needed, e.g. $\sim e^{-1/\lambda}$

Scattering amplitude



Overall picture

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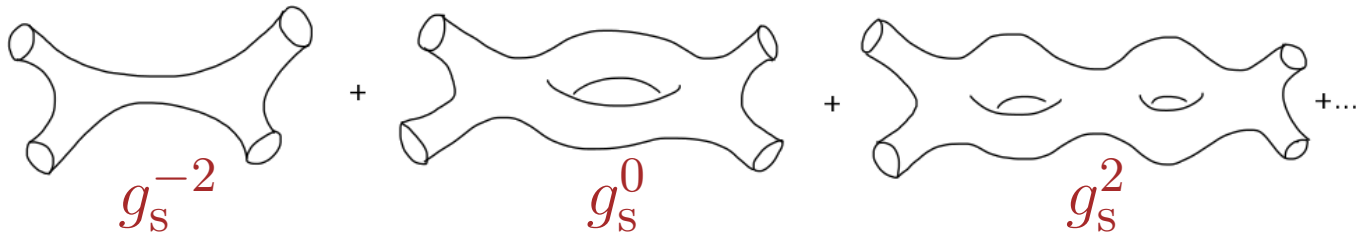
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Exact structure of \mathcal{A} of all its arguments typically intractable

Perturbative string theory

String theory replaces point-like particles by one-dimensional strings. Rather than 'world-lines' get **world-sheets** (two-dim'l surfaces) in space-time

World-sheet view of a scattering process: **genus expansion**

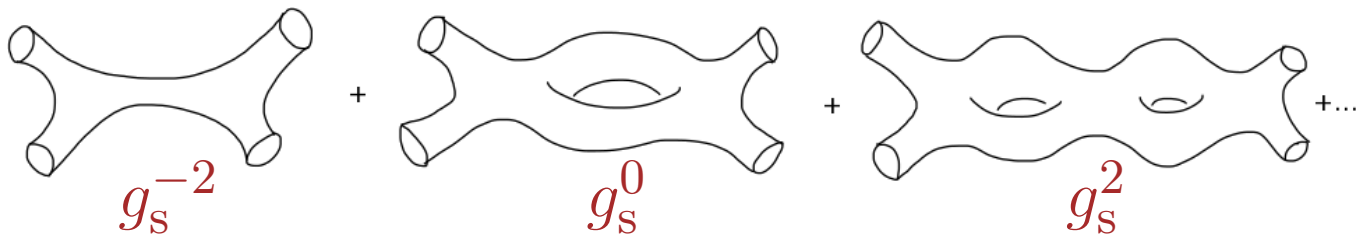


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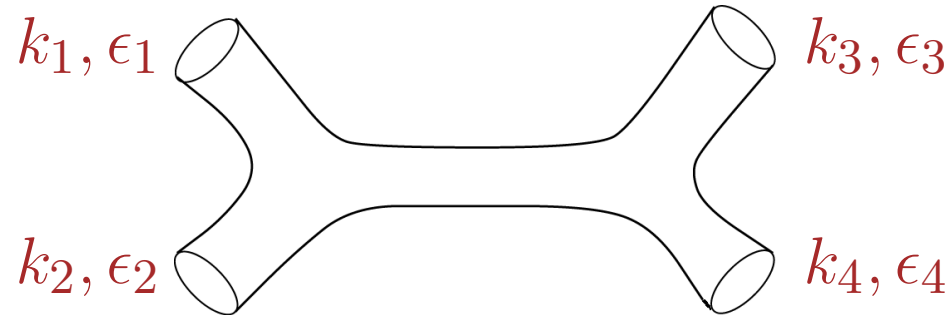
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n -point amplitude at h loops: Integral over world-sheets of genus h with n punctures; weighted by $g_s^{2(h-1)}$.

Given by integrals over $\mathcal{M}_{h,n}$ moduli space

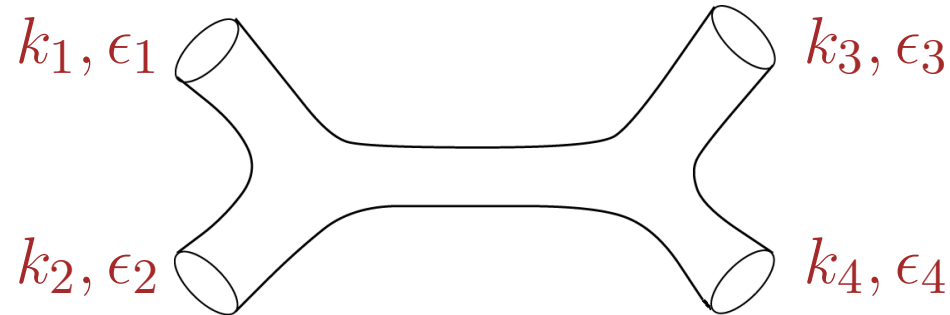
String theory scattering amplitudes

Example: Four-graviton scattering at tree level (flat target)



String theory scattering amplitudes

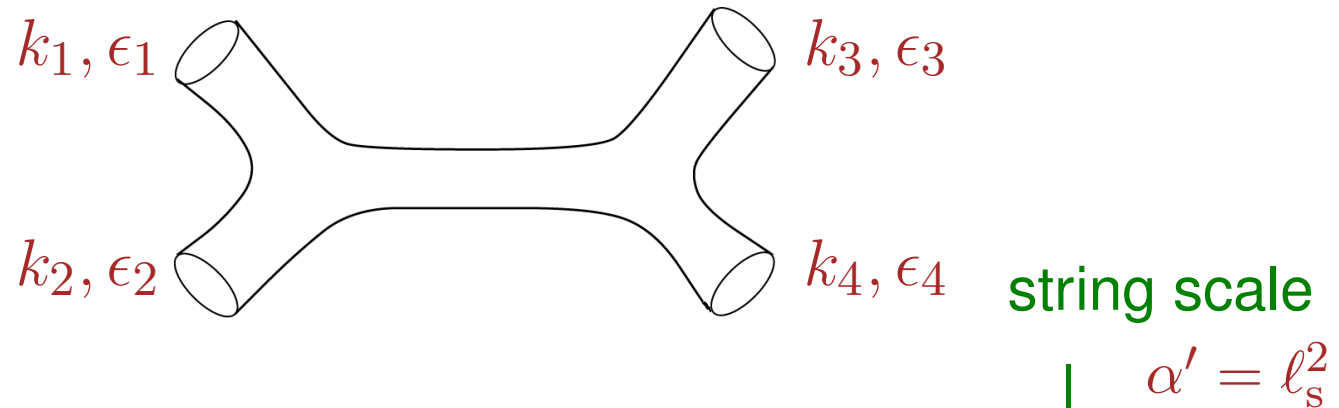
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$$\mathcal{A}^{\text{tree}}(s, t, u) = g_s^{-2} \frac{(\alpha')^4 \Gamma(1 - \alpha' s) \Gamma(1 - \alpha' t) \Gamma(1 - \alpha' u)}{stu \Gamma(1 + \alpha' s) \Gamma(1 + \alpha' t) \Gamma(1 + \alpha' u)} \mathcal{R}^4$$

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Mandelstam
variables

string coupling:
tree level

absorbs polarisation
tensors ϵ_i

$$s = -(k_1 + k_2)^2, \quad t = -(k_1 + k_4)^2, \quad u = -(k_1 + k_3)^2$$

Four gravitons at tree-level

$$\mathcal{A}^{\text{tree}}(s, t, u) = g_s^{-2} \frac{(\alpha')^4}{stu} \frac{\Gamma(1 - \alpha's)\Gamma(1 - \alpha't)\Gamma(1 - \alpha'u)}{\Gamma(1 + \alpha's)\Gamma(1 + \alpha't)\Gamma(1 + \alpha'u)} \mathcal{R}^4$$

Exact function of string scale $\alpha' = \ell_s^2$ [Green Schwarz '82]

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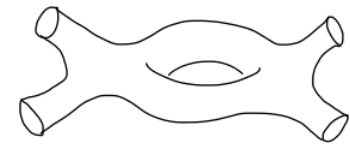
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Accessible in low-energy approximation

$$\alpha's \ll 1, \alpha't \ll 1, \alpha'u \ll 1$$



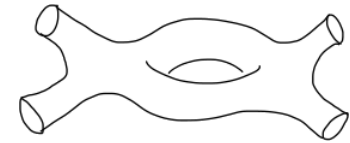
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For tree-level amplitude by expanding gamma function.

More generally, yields plethora of (novel) invariants on higher genus moduli spaces: Modular graph forms

[Basu, Broedel, Dorigoni, Doroudiani, Duke, Gerken, Green, Gürdoğan, D'Hoker, Kaderli, Kaidi, AK, Mafrà, Pioline, Russo², Schlotterer, Vanhove, Verschinin, Zagier, Zerbini, ...]

Low-energy expansion

For $\alpha's \ll 1$, $\alpha't \ll 1$, $\alpha'u \ll 1$ at tree level

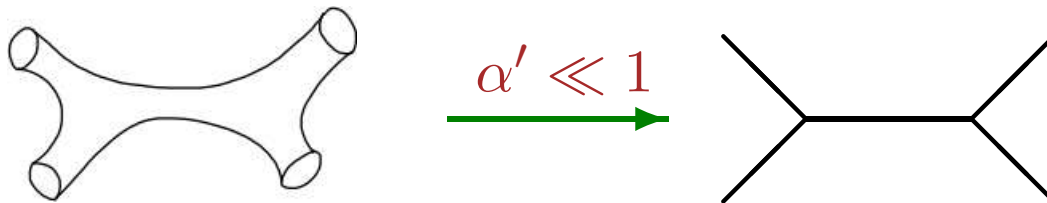
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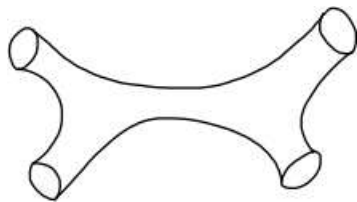
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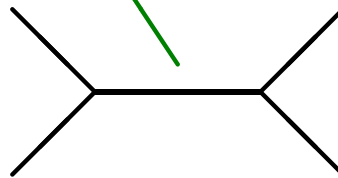
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Einstein's theory

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$\alpha' \ll 1$



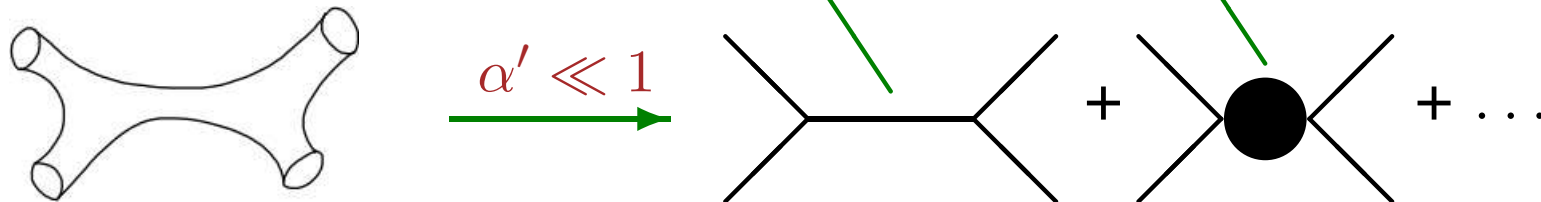
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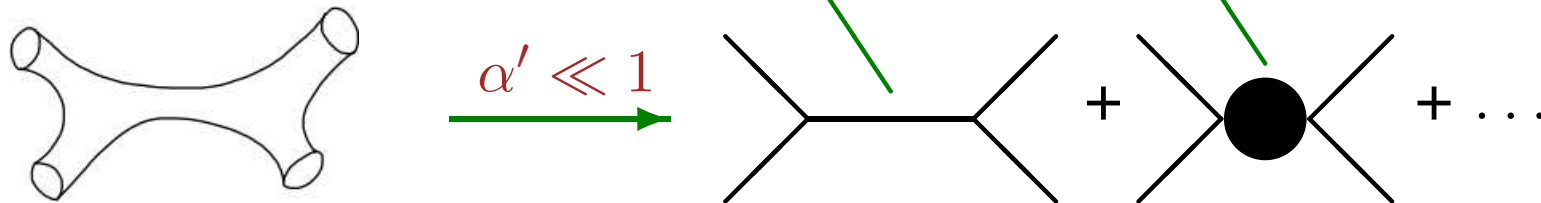
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Generates an **effective quantum field theory** with new types of interactions ●

String theory scattering amplitudes

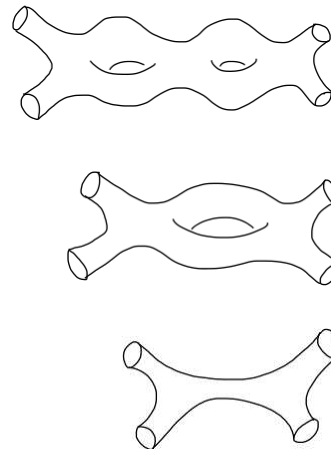
Scattering amplitudes of strings have a **double expansion**

- Perturbative loop expansion

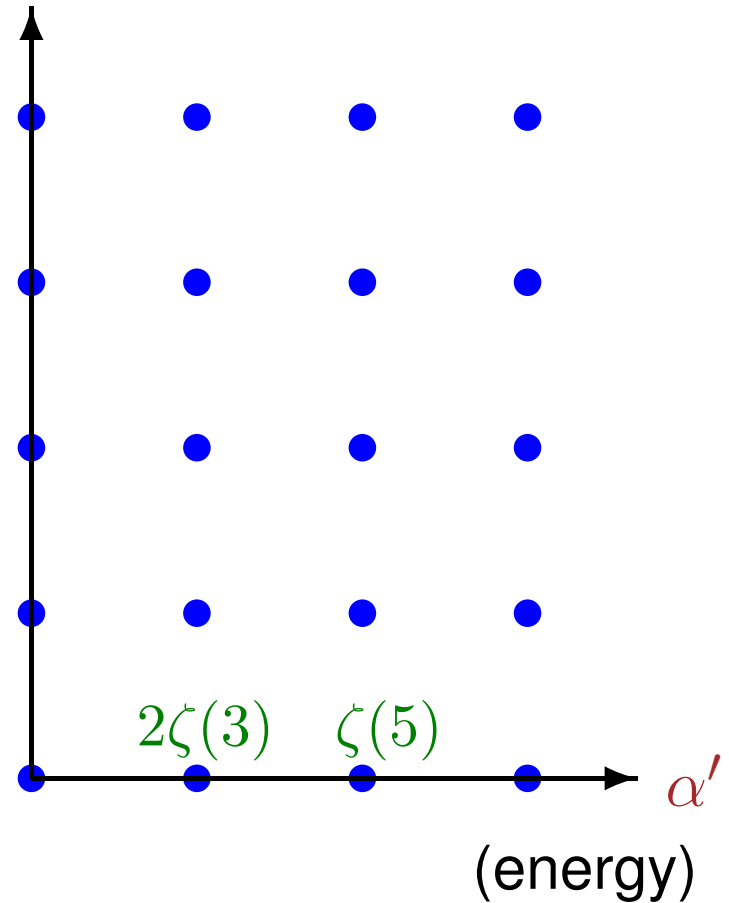
Diagram weighted by powers of **string coupling** g_s

- Low-energy expansion

Energies involved in interaction measured in powers of **string scale** $\ell_s^2 = \alpha'$



g_s (loops)



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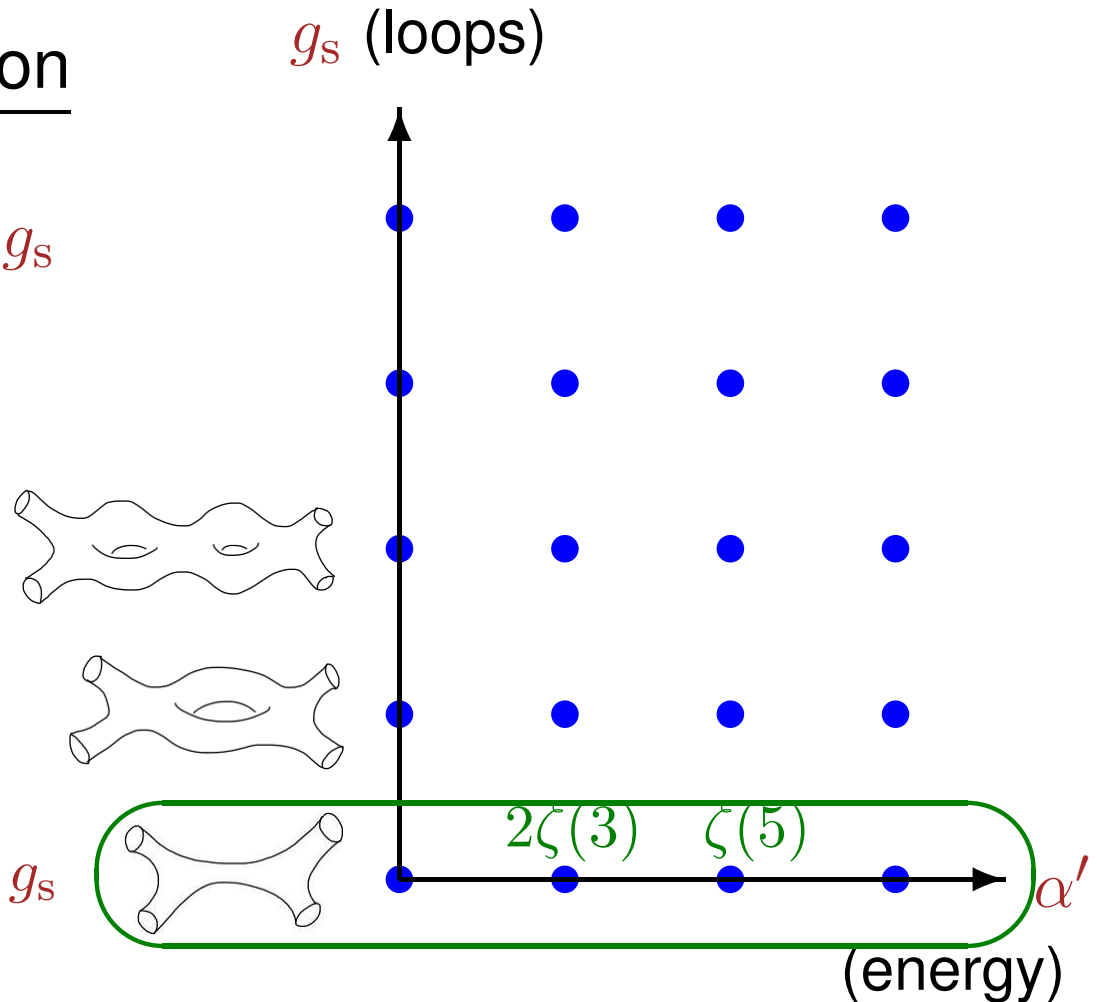
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fixed order in g_s



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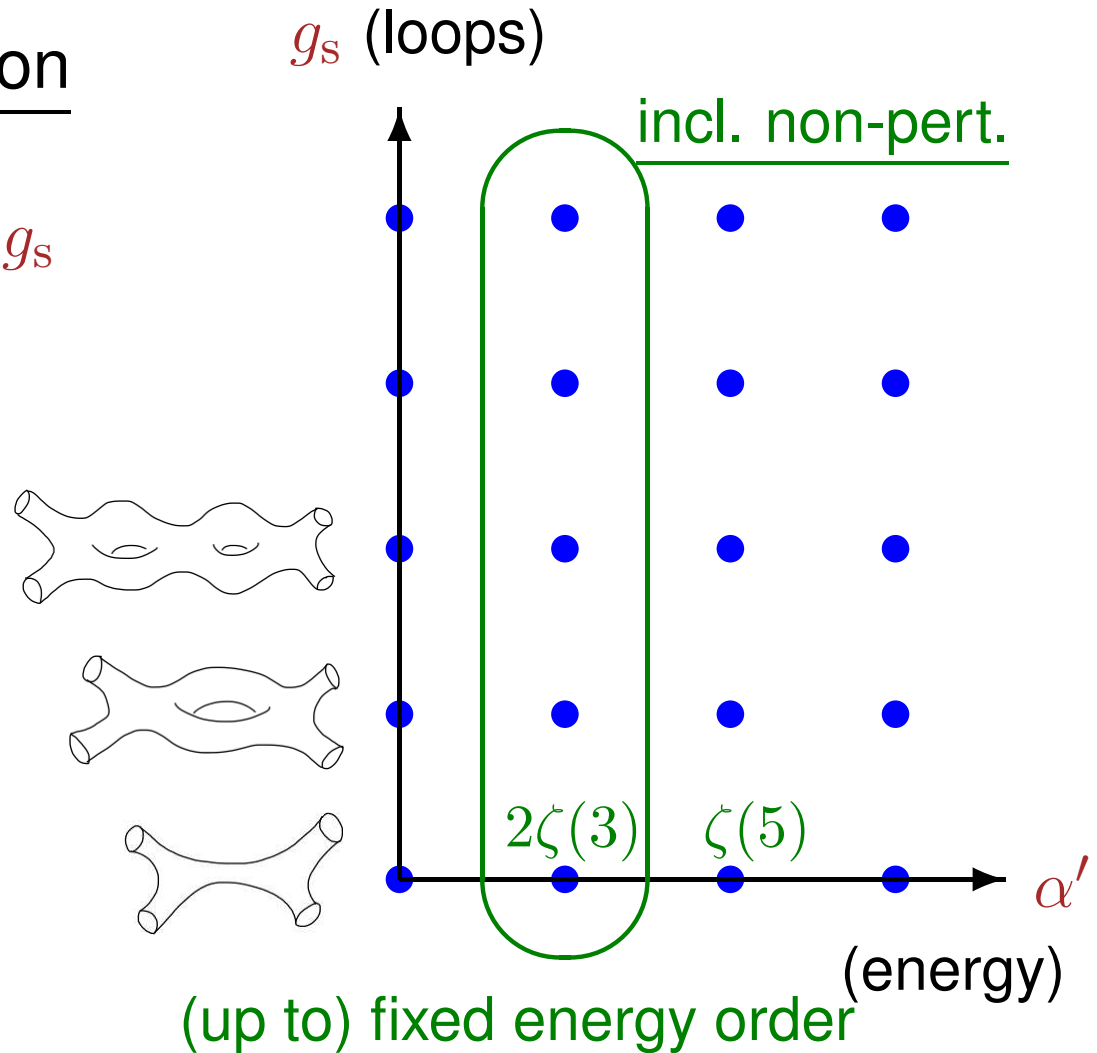
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...sometimes fixed by (discrete) symmetries/automorphy!

Moduli and U-duality (I)

String coupling g_s is a **modulus** of string theory.

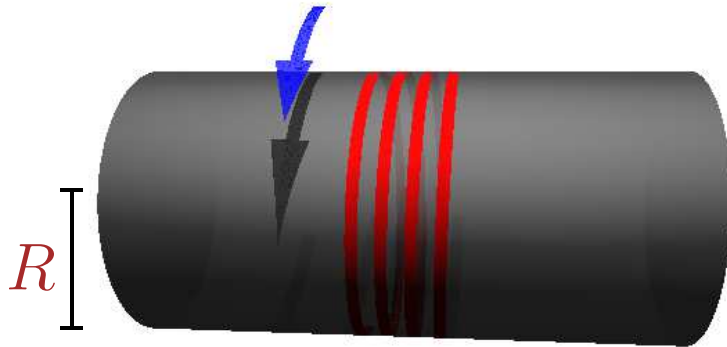
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Other moduli: For **toroidal backgrounds** including $T^{d-1} = (S^1)^{d-1}$ the radii are also moduli



momentum n

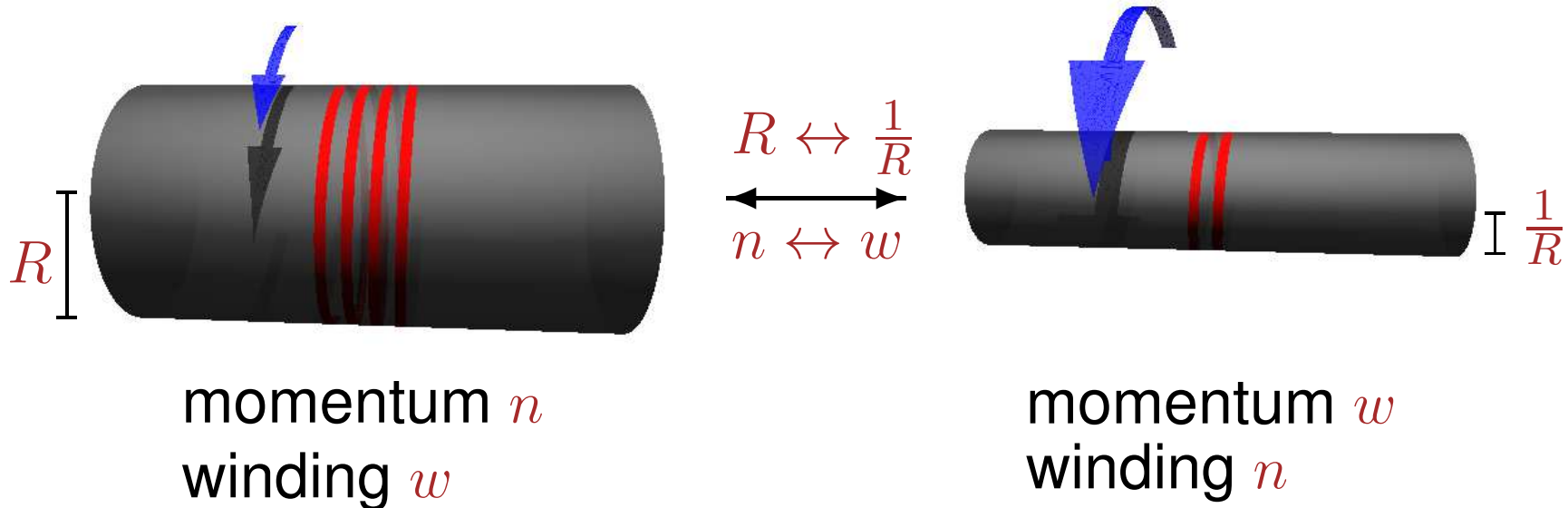
winding w

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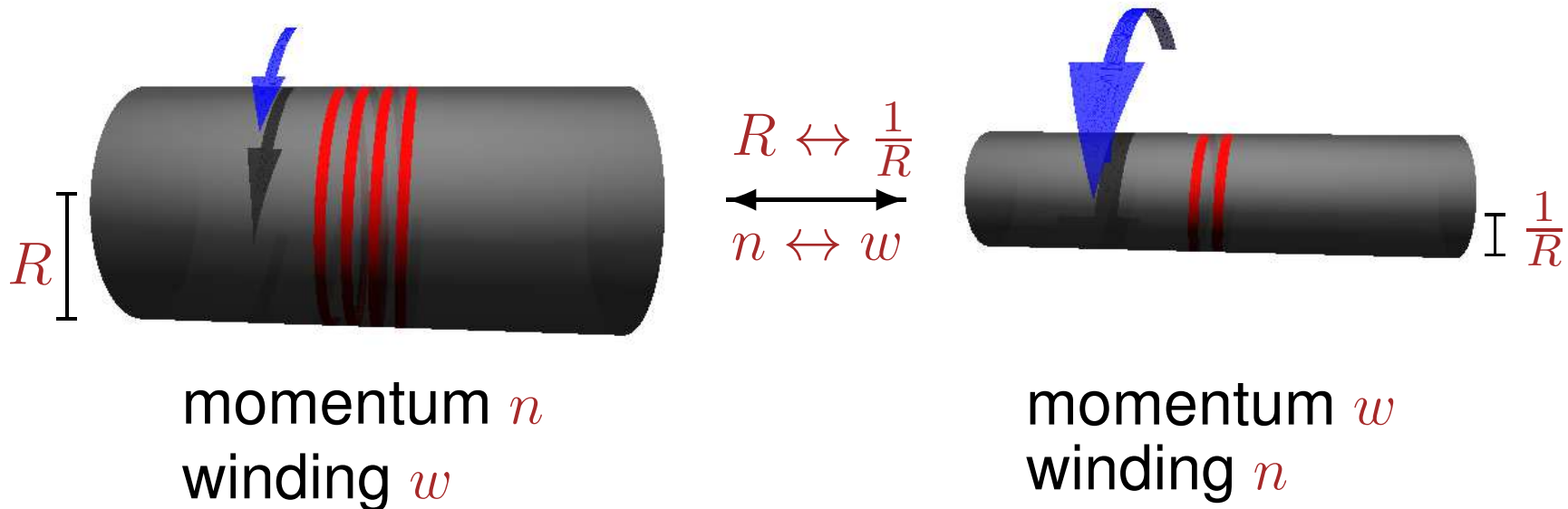


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Equivalent string theories! **T-duality** $SO(d-1, d-1, \mathbb{Z})$

Moduli and U-duality (II)

On g_s and (RR) axion χ action of $SL(2, \mathbb{Z})$ S-duality

$$\Omega = \chi + ig_s^{-1} \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \Omega = \frac{a\Omega + b}{c\Omega + d}$$

giving equivalent string theories. $\Omega \in SL(2, \mathbb{R})/SO(2)$

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All moduli g together form moduli space \mathcal{M} [Hull Townsend]

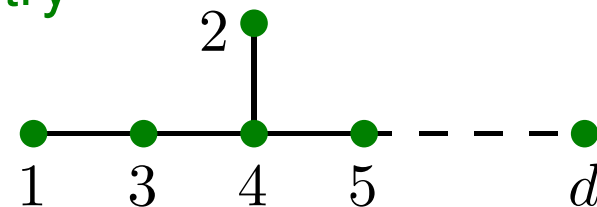
$$g \in \mathcal{M} = E_d(\mathbb{Z}) \backslash E_{d(d)}(\mathbb{R}) / K(E_d)$$

U-duality

Cremmer–Julia
hidden symmetry
(split real)

compact subgroup

strings on $\mathbb{R}^{1,10-d} \times T^{d-1}$



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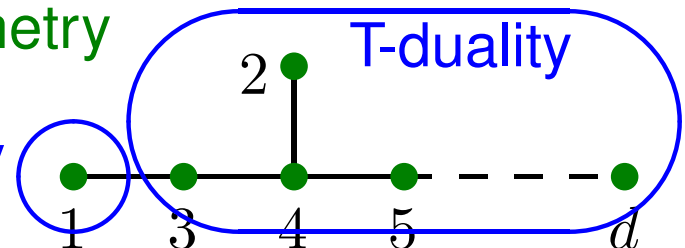
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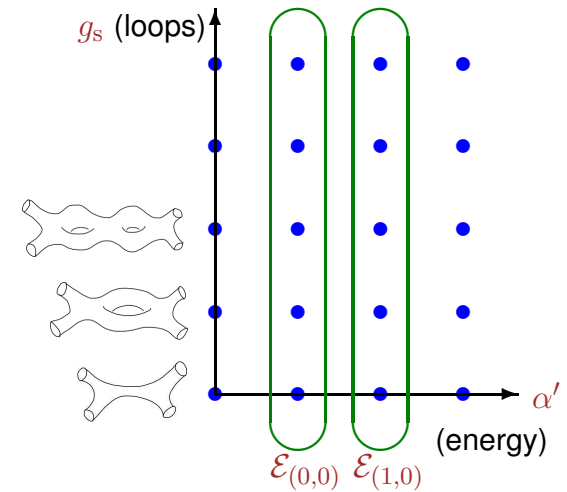


Coefficient functions in amplitude (I)

Expand the (analytic part of the) full scattering amplitude in energy direction

$$\mathcal{A}(s, t, u; g) = \mathcal{R}^4 \left(\frac{1}{stu} + \sum_{p, q \geq 0} \mathcal{E}_{(p, q)}(g) \sigma_2^p \sigma_3^q \right)$$

with $\sigma_n = \frac{(\alpha')^n}{4^n} (s^n + t^n + u^n)$ and $g \in E_d$.

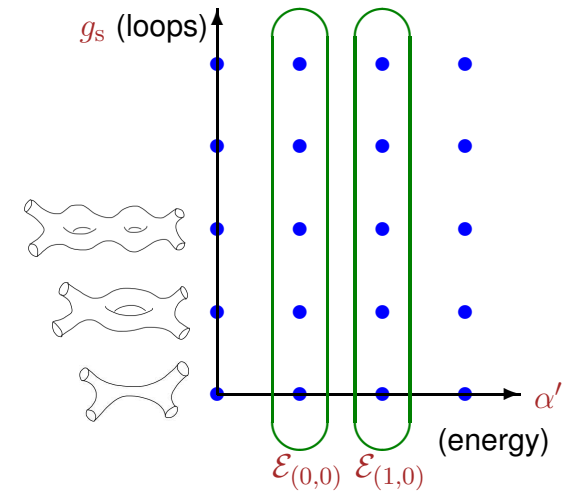


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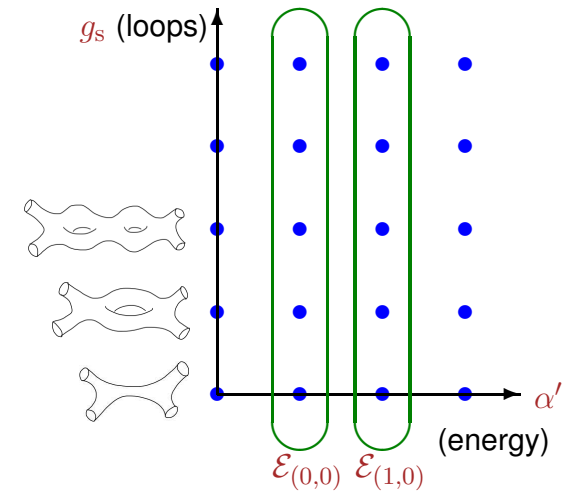
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- are of moderate growth in order to be compatible with perturbation theory
- satisfy differential equations for **supersymmetry**

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- satisfy differential equations for supersymmetry

⇒ Looking for (spherical) automorphic forms on E_d

Coefficient functions in amplitude (II)

A lot known for lowest $\mathcal{E}_{(p,q)}$ from supersymmetry and internal consistency [Green, Gutperle, Kiritsis, Miller, Obers, Pioline, Russo, Sethi, Vanhove, Waldron, ...]

$$\begin{aligned} R^4 & \mathcal{E}_{(0,0)}(g) = 2\zeta(3)E_{\alpha_1,3/2}(g) \\ D^4 R^4 & \mathcal{E}_{(1,0)}(g) = \zeta(5)E_{\alpha_1,5/2}(g) \\ D^6 R^4 & \mathcal{E}_{(0,1)}(g) = \text{later} \end{aligned}$$

in terms of (maximal parabolic) Eisenstein series

$$E_{\alpha_1,s}(g) = \sum_{\gamma \in P_1(\mathbb{Z}) \setminus E_d(\mathbb{Z})} e^{\langle 2s\Lambda_1, H(\gamma g) \rangle}$$

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fund. weight dual to α_1^\vee

logarithm map
 $G \rightarrow \mathfrak{h}$ (CSA)

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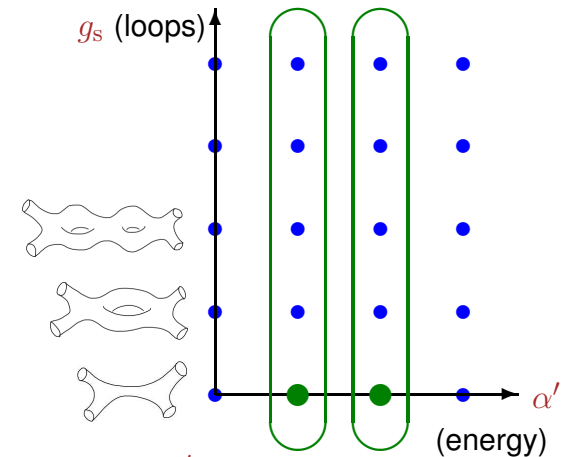
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$D^6 R^4$	$\mathcal{E}_{(0,1)}(g) = \text{later}$

in terms of (maximal parabolic) Eisenstein series

$$E_{\alpha_1, s}(g) = \sum_{\gamma \in P_1(\mathbb{Z}) \setminus E_d(\mathbb{Z})} e^{\langle 2s\Lambda_1, H(\gamma g) \rangle}$$

Consistency with tree-level results ($\gamma = 1$)

$$\mathcal{E}_{(0,0)}(g) = 2\zeta(3)g_s^{-3/2} + \dots, \quad \mathcal{E}_{(1,0)}(g) = \zeta(5)g_s^{-5/2} + \dots$$



Automorphic representations

Given an automorphic form η one can generate an **automorphic representation** by G right action:

$$\eta \mapsto \pi(g)\eta, \quad (\pi(g)\eta)(h) = \eta(hg)$$

Best done adelically. More properly form a (\mathfrak{g}, K) module at archimedean places. Does not upset discrete invariance under $G(\mathbb{Q})$ left action: $\eta(g) = \eta(\gamma g)$

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⇒ [Link to representation theory](#)

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Eisenstein series sph. vectors of principal series **[Langlands]**

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⇒ [Anything special about the string theory cases?](#)

Fourier expansion

Simplest case $G(\mathbb{R}) = SL(2, \mathbb{R})$, $\Omega \in SL(2, \mathbb{Z}) \backslash SL(2, \mathbb{R})/K$

$$\Omega = \chi + ig_s^{-1}$$

$$\begin{aligned} \mathcal{E}_{(0,0)} &= 2\zeta(3)E_{3/2}(\Omega) = 2\zeta(3)g_s^{-3/2} + 4\zeta(2)g_s^{1/2} \\ &+ 2\pi \sum_{m \neq 0} \sqrt{|m|} \sigma_{-2}(m) e^{-2\pi|m|g_s^{-1} + 2\pi im\chi} (1 + O(g_s^{-1})) \end{aligned}$$

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non-perturbative
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- Constant terms: perturbative calculation (agree and predict correctly)
- Fourier coefficients: non-perturbative effects
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Story gets more interesting for higher rank groups...

Fourier coefficients

Consider a unipotent subgroup $U(\mathbb{Q}) \subset G(\mathbb{Q})$ and define the **Fourier coefficient/unipotent period**

$$F_{\psi_U}(\eta, g) = \int_{U(\mathbb{Q}) \backslash U(\mathbb{A})} \eta(ug) \overline{\psi_U(u)} du$$

for $\psi_U : U(\mathbb{Q}) \backslash U(\mathbb{A}) \rightarrow \mathbb{C}^\times$ a unitary character. Equivalently:

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Wave-front set (of an automorphic form):

Collection of nilpotent orbits supporting $F_{\psi_U} \neq 0$.

Discussed for instance in $\left[\begin{array}{l} \text{Mœglin} \\ \text{Waldspurger} \end{array} \right]$ $\left[\text{Matumoto} \right]$ (locally) and $\left[\begin{array}{l} \text{Jiang, Liu} \\ \text{Savin} \end{array} \right]$ (globally), using Whittaker pairs in $\left[\begin{array}{l} \text{Gomez, Gourevitch} \\ \text{Sahi} \end{array} \right]$

String theory expectations

Coefficient functions [Green, Russo
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$$\mathcal{E}_{(0,0)}(g) = 2\zeta(3)E_{\alpha_1,3/2}(g) \quad \mathcal{E}_{(1,0)}(g) = \zeta(5)E_{\alpha_1,5/2}(g)$$

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Small automorphic representations! [Ginzburg
Rallis, Soudry] [Miller
Sahi] [Ciubotaru
Trapa]

Which Fourier coefficients to compute?

In string theory on torus T^{d-1} typically interested in U coming from max. parabolic subgroups $P = LU$. Levi subalgebras for example of the form

- $\mathfrak{so}(d-1, d-1) \oplus \mathfrak{gl}(1)$: cusp $g_s \rightarrow 0$ and D-instantons
- $\mathfrak{gl}(d)$: cusp $\text{vol}(T^{d-1}) \rightarrow \infty$ and M-instantons
- \mathfrak{e}_{d-1} : cusp where one radius $R \rightarrow \infty$ and black holes

Also interested in explicit form of Fourier coefficients

Fourier and Whittaker coefficients

Compared to Fourier coefficients F_{ψ_U} more known for Whittaker coefficients

$$W_{\psi_N}(\eta, g) = \int_{N(\mathbb{Q}) \backslash N(\mathbb{A})} \eta(n g) \overline{\psi_N(n)} dn$$

with N the maximal unipotent (fix a Borel $B = NA$).

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Have **reduction algorithm** for relating various coefficients of arbitrary automorphic forms $\left[\begin{array}{l} \text{Gourevitch, Gustafsson} \\ \text{AK, Persson, Sahi} \end{array} \right] \rightarrow \text{more forms}$

Reduction formula

Eisenstein series defined by choice of weight $\lambda \in \mathfrak{h}_{\mathbb{C}}^*$

$$E(\lambda, g) = \sum_{\gamma \in B(\mathbb{Q}) \backslash G(\mathbb{Q})} e^{\langle \lambda + \rho, H(\gamma g) \rangle} \quad (\rho: \text{Weyl vector})$$

Character ψ_N for E_d defined by $(m_1, \dots, m_d) \in \mathbb{Q}^d$ ('charges')

Degenerate ψ_N : some m_i vanish. Non-zero ones select subgroup $G' \subset E_d$ such that $\psi_N|_{N'}$ is non-degenerate

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Proposition [Hashizume] [Fleig, AK Persson]

$$W_{\psi_N}^G(\lambda, 1) = \sum_{w_c w'_{\text{long}} \in W/W'}$$

↑
specific coset representatives

$$\text{Intertwiner} = \prod_{\substack{\alpha > 0 \\ w_c^{-1} \alpha < 0}} \frac{\zeta^*(\lambda \cdot \alpha)}{\zeta^*(\lambda \cdot \alpha + 1)}$$

$$\downarrow \\ M(w_c^{-1}, \lambda) W_{\psi_{N'}}^{G'}(w_c^{-1} \lambda, 1)$$

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can vanish for special λ
small representations!

Reduction formula (II)

Using reduction formula can show that for E_d [Gustafsson
AK, Persson]

- for η_{\min} : $W_{\psi_N} \neq 0$ only if a single $m_i \neq 0$ (type A_1), $G' = SL(2)$ and a single term in sum (Eulerian)
- for η_{ntm} : $W_{\psi_N} \neq 0$ if a single $m_i \neq 0$ (non-Eulerian) or two disconnected $m_i \neq 0$ (type $2A_1$, Eulerian)

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What about Fourier coefficients for other unipotents U ?

Something like Piatetski-Shapiro–Shalika formula for η or F_{ψ_U} in terms of W_{ψ_N} ?

Relating coefficients

Algorithm in $\left[\begin{array}{l} \text{Gourevitch, Gustafsson} \\ \text{AK, Persson, Sahi} \end{array} \right]$, building on $\left[\begin{array}{l} \text{Miller} \\ \text{Sahi} \end{array} \right]$ $\left[\begin{array}{l} \text{Gomez, Sahi} \\ \text{Gourevitch} \end{array} \right]$

Representative (simplified) results

- For η_{\min} , U unipotent radical of maximal parabolic and $\psi_U \neq 0$ only on root space defining maximal parabolic:

$$F_{\psi_U}(\eta_{\min}, g) = W_{\psi_N}(\eta_{\min}, g)$$

 extend ψ_U trivially from U to N

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- For η_{ntm} and ψ_U of rank two, can find $\gamma \in G(\mathbb{Q})$ such that $\psi_N = \text{Ad}_{\gamma}^* \psi_U$ on two orthogonal simple root spaces
($2A_1$)

$$F_{\psi_U}(\eta_{\text{ntm}}, g) = \int_{V_{\gamma}(\mathbb{A})} W_{\psi_N}(\eta_{\text{ntm}}, v\gamma g) dv$$

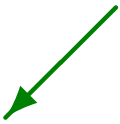
Lie $V_{\gamma} = \mathfrak{g}_{>1}^{\gamma S \gamma^{-1}} \cap \bar{\mathfrak{b}}$, where S defines U by e-val ≥ 2

Relating coefficients (II)

General structure of relations

$$F_\psi \text{ or } \eta = \sum \int W$$

replace by
'Levi distinguished'
in general



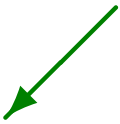
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Idea of proof:

1. Initial coefficient F_ψ through Whittaker pair (S, ψ) .
Defines unipotent integration domain $N_{S, \psi}$
2. 'Fill up' integration domain by deforming Whittaker pair to another (H, ψ) via $H = S + t(H - S)$ for $0 \leq t \leq 1$
3. At 'critical points' t_* can obtain (i) root exchanges [Ginzburg Rallis, Soudry], (ii) additional Fourier expansion. Might have to conjugate ψ for standard Borel. Discard all terms outside wave-front set of η

Example: ntm of $SL(4)$

One-parameter family of next-to-minimal representations for $SL(4)$ of GK-Dim=4, spherical vector is max. parabolic Eisenstein series $E_{\alpha_2, s}$ for P_2 .

Want $F_{\psi_U} = \int_{V_\gamma} W_{\psi_N}(v\gamma) dv$ (Eulerian!) with $U = U_2$ unipotent of middle parabolic and ntm character ψ_U of form

$$P_2 = \left(\begin{array}{cc|cc} * & * & U & U \\ * & * & U & U \\ \hline 0 & 0 & * & * \\ 0 & 0 & * & * \end{array} \right), \quad \psi_U = \left(\begin{array}{cc|cc} 0 & 0 & m & 0 \\ 0 & 0 & 0 & n \\ \hline 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right) \xrightarrow{\gamma=w_2} \left(\begin{array}{cc|cc} 0 & m & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & n \\ 0 & 0 & 0 & 0 \end{array} \right) = \psi_N$$

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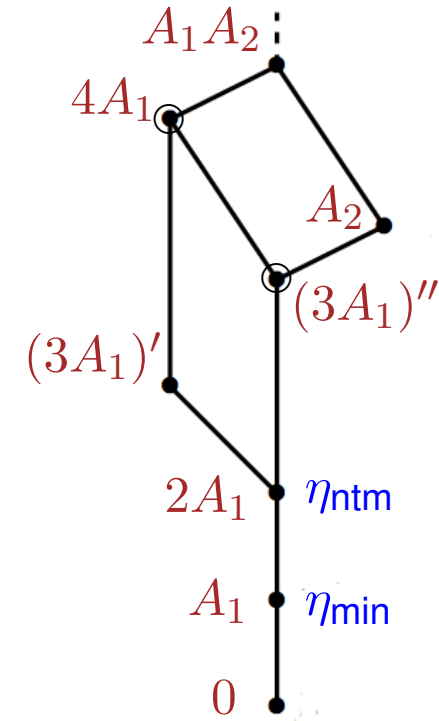
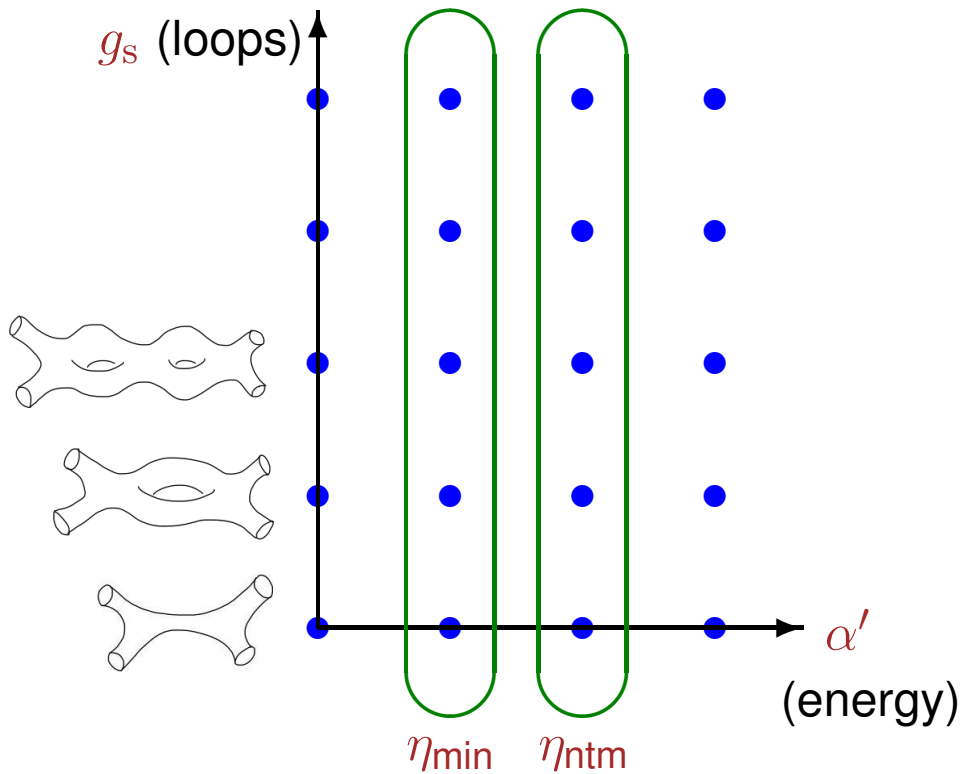
$$p < \infty : \quad F_{p, \psi_U}(1) = (1 - p^{2s})(1 - p^{2s-1}) \sum_{d|\Gamma} (\det \Gamma)^{2-2s} d^{2s-1} \sigma_{2s-2} \left(\frac{\det \Gamma}{d^2} \right)$$

$$p = \infty : \quad F_{\infty, \psi_U}(1) = \frac{4\pi^{2s-1/2}}{\Gamma(s)\Gamma(s-1/2)} |mn|^{s-1} \int_{\mathbb{R}} K_{s-1}(2\pi|m|\sqrt{1+u^2}) K_{s-1}(2\pi|n|\sqrt{1+u^2}) du$$

(Agrees with direct calculation.)

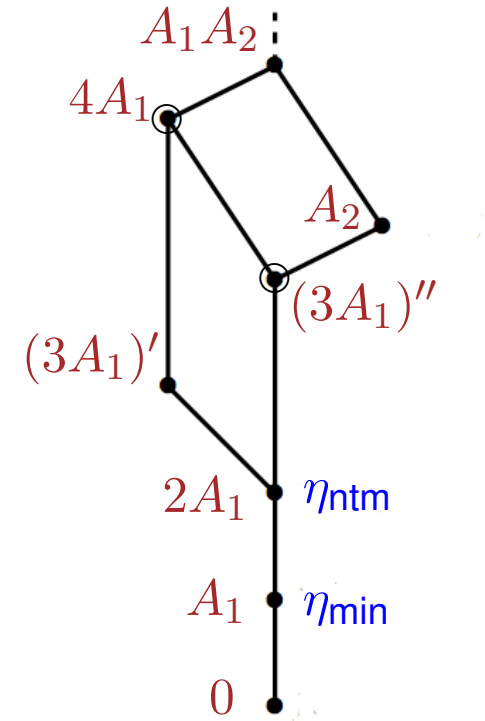
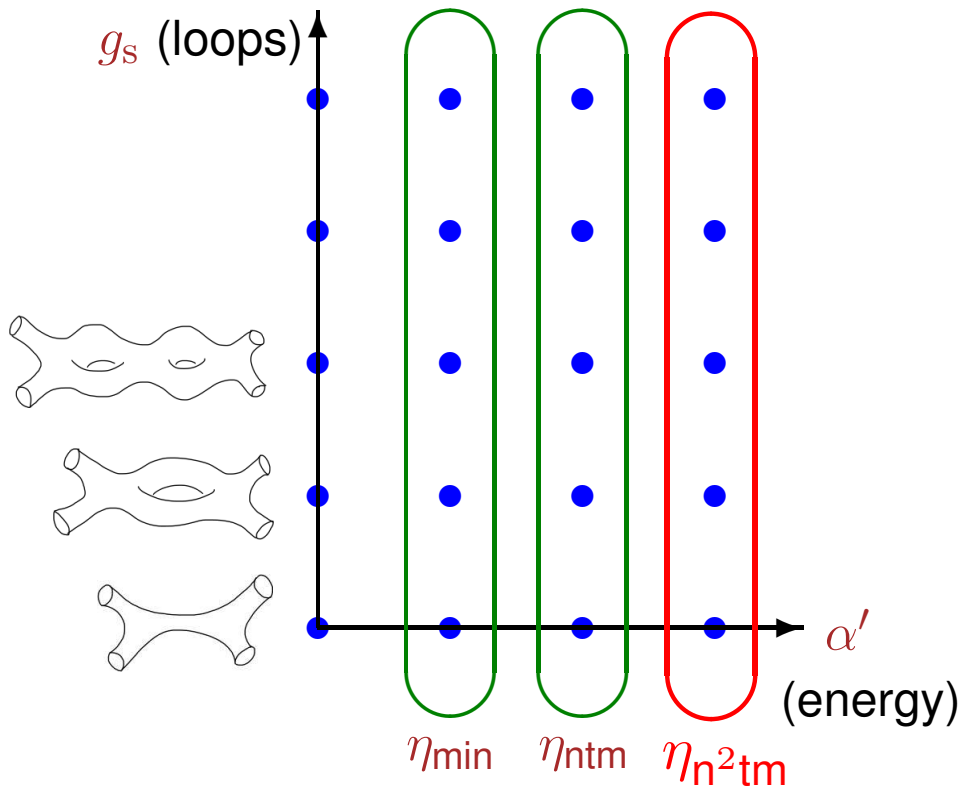
More auto. functions from strings

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Hasse diagram for $E_7(\mathbb{R})$

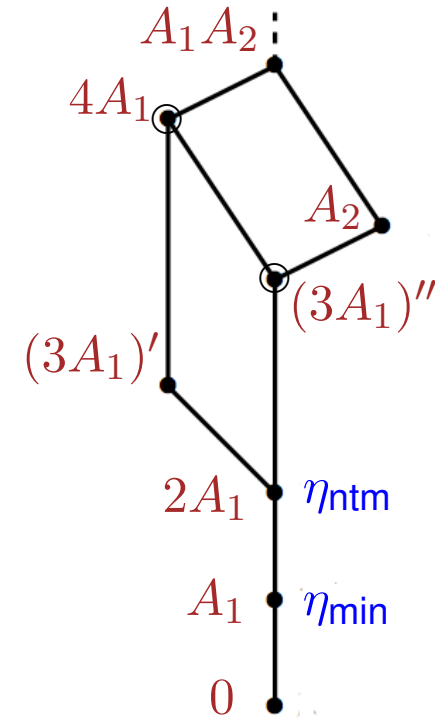
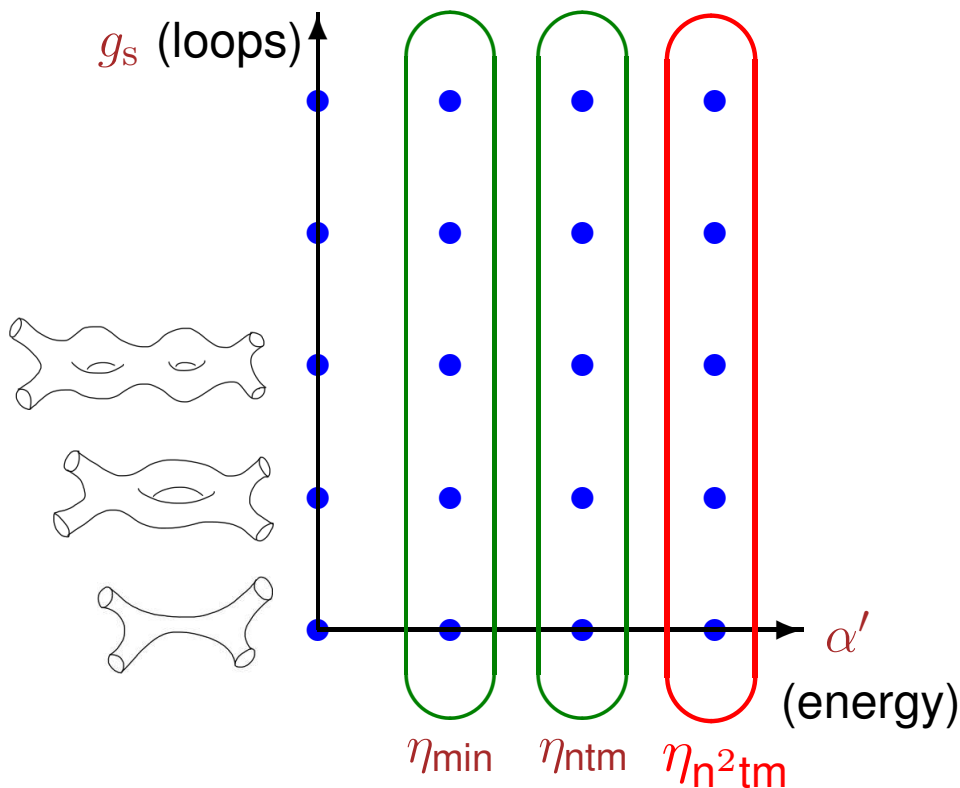
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Why not continue to higher orders in α' , i.e. η_{n^2tm} ?

More auto. functions from strings

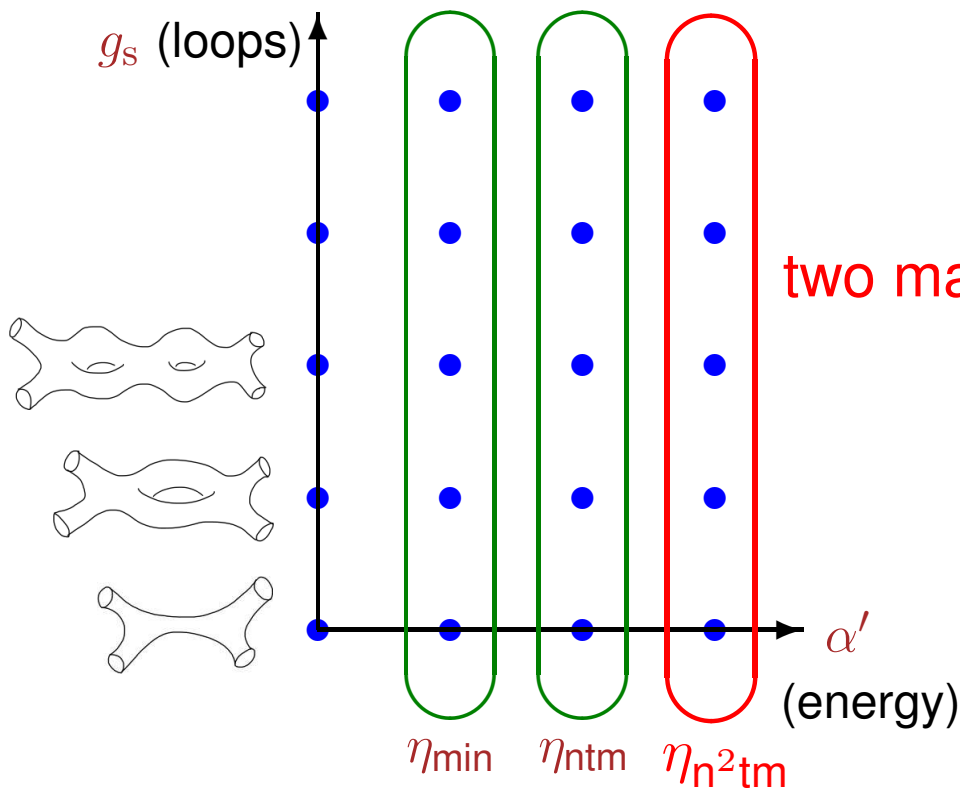


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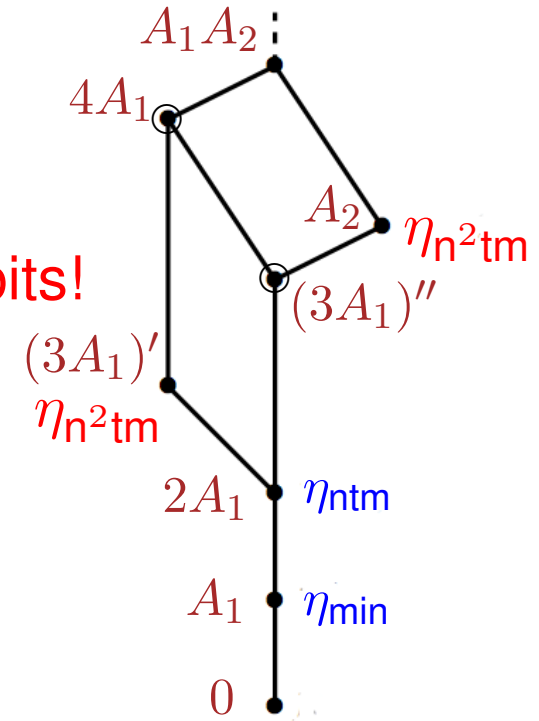
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What identifies η_{\min} and η_{ntm} in string theory is Fourier support \leftrightarrow differential equations (=annihilator ideal)

More auto. functions from strings



two max. orbits!



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More auto. functions from strings (II)

Exemplary inhomogeneous differential equation for $SL(2)$

[Green
Vanhove]

$$(\Delta - 12) \eta_{n^2tm} = -\eta_{\min}^2 \quad (*)$$

$SL(2)$ invariant Laplacian on UHP

Can be solved using Poincaré series, Fourier series or spectral methods [Green, Miller
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Gen. of (*) to E_d [Bossard Verschinin] [Pioline]. Solution [Bossard, AK] [Bossard, AK Pioline]

$$\eta_{n^2tm}(g) = \frac{4\pi}{3} \int_{\mathbb{R}_+^3} \frac{d^3\Omega_2}{(\det \Omega_2)^{\frac{7-d}{2}}} \varphi(\Omega_2) \sum'_{\substack{\Gamma_1, \Gamma_2 \in \Lambda \\ \Gamma_i \times \Gamma_j = 0}} e^{-\pi\Omega_2^{ij} G(\Gamma_i, \Gamma_j)} + \eta_{\text{hom.}}(g)$$

More auto. functions from strings (II)

Exemplary inhomogeneous differential equation for $SL(2)$

[Green
Vanhove]

$$(\Delta - 12) \eta_{n^2 \text{tm}} = -\eta_{\min}^2 \quad (*)$$

\uparrow
 $SL(2)$ invariant Laplacian on UHP

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$\Omega_2^{ij} = \begin{pmatrix} L_1 + L_3 & L_3 \\ L_3 & L_2 + L_3 \end{pmatrix}$ $\varphi(\Omega_2) = L_1 + L_2 + L_3 - 5 \frac{L_1 L_2 L_3}{\det \Omega_2}$
 \sim Kawazumi–Zhang inv.

\leftarrow g -dep. bil. form on lattice Λ in E_d -rep

More auto. functions from strings (III)

Fourier expansion only partially analysed [Bossard, AK
Pioline]

Note: η_{n^2tm} not \mathfrak{Z} -finite due to inhomogeneity in equation.
New types of automorphic functions!

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Should be applicable to such more general automorphic
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Remark: Very similar (and more involved ‘higher-depth’) equations arise naturally for $SL(2, \mathbb{Z})$ modular graph forms.
Connection to iterated Eisenstein integrals and multiple zeta values there [Broedel, Brown, Dupont, Enriquez, Gerken, AK, Matthes, Mizera, Panzer, Schlotterer, Stieberger, Taylor, Zagier, Zerbini, ...]

Also show up for integrated $\mathcal{N} = 4$ super Yang–Mills correlators [Chester, Green] [Dorigoni] [Fedosova]
[Pufu, Wang, Wen] [Green, Wen] [Klinger-Logan]

More auto. functions from strings (IV)

Possible picture (in progress [Bossard, Friedberg
Gourevitch, AK, Persson]):

More general notion of $\mathcal{U}(\mathfrak{g})$ -module associated with inhomogeneous equations of the form

$$(\Delta - \lambda) F = S$$

giving rise to an exact sequence

$$0 \rightarrow \mathcal{U}(\mathfrak{g})S \rightarrow \mathcal{U}(\mathfrak{g})F \rightarrow \mathcal{U}(\mathfrak{g})E_P \rightarrow 0$$

for some parabolic Eisenstein series E_P that descends to interesting consequences for Fourier coefficients (that grow exponentially per black hole counting).

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Many interesting avenues to explore!

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Thank you for your attention!

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